



B A S E
B / A / S / E

BASE Self-Assessment (Budget · Authority · Skills · Expectations)

Evaluate organizational readiness for SAFEARC (pre-incident) and STABLE (post-incident).

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SAFEMACHINE RULES
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COMPONENT
BASE v1

EFFECTIVE
2026-05-20

VARIANT
Template

Advisory governance assessment - not a regulatory opinion or compliance certification. SAFEMACHINE is not affiliated with NIST, ISO, OECD, or any regulatory body. Citations indicate the source of governance principles, not official endorsement.

Instruction: BASE is not pass/fail. RED does not stop you. GREEN does not finish you. BASE reveals what must be fixed or improved.

Use: Run every 6 months and before any major SAFEARC or STABLE engagement.

| | |
|----------------------------------|---|
| NON-PUNITIVE | BASE responses are non-punitive and cannot be used for employee performance evaluation. |
| READINESS | BASE determines whether conditions for SAFEARC/STABLE readiness are met. |
| SCORING (RE-VERSE-PRIMED) | RED = none / not present. YELLOW = partial / inconsistent. GREEN = sufficient / fully in place. |

B - Budget

1. Does the organization set money aside specifically for AI safety, updates, retraining, and fixes?

 RED
 YELLOW
 GREEN

2. Does the organization assign staff time to maintain AI tools and resolve issues?

 RED
 YELLOW
 GREEN

A - Authority

3. Do senior leaders explicitly support AI safety and governance?

 RED
 YELLOW
 GREEN

4. Is there a named person or team with final authority over AI decisions?

 RED
 YELLOW
 GREEN

S - Skills

5. Do workers understand the AI tools they use enough to operate them safely?

 RED
 YELLOW
 GREEN

6. Does the organization train staff on AI safety at least every six months?

 RED
 YELLOW
 GREEN

E - Expectations

7. Are there written rules defining permitted and prohibited AI behavior?

RED YELLOW GREEN

8. Is there a formal review process for launching or updating any AI system?

RED YELLOW GREEN

BASE Summary

BASE covers eight readiness dimensions across four pillars: Leadership, Oversight, Culture, Training, Budget, Resources, Policies, Processes.

- Leadership

RED YELLOW GREEN

- Oversight

RED YELLOW GREEN

- Culture

RED YELLOW GREEN

- Training

RED YELLOW GREEN

- Budget

RED YELLOW GREEN

- Resources

RED YELLOW GREEN

- Policies

RED YELLOW GREEN

- Processes

RED YELLOW GREEN

Citations

- [1] **NIST AI RMF** - <https://www.nist.gov/itl/ai-risk-management-framework>
- [2] **ISO/IEC 42001** - <https://www.iso.org/standard/81230.html>
- [3] **OECD AI Principles** - <https://oecd.ai/en/ai-principles>
- [4] **EU AI Act** - <https://artificialintelligenceact.eu/>
- [5] **U.S. Executive Order 14110** - <https://www.whitehouse.gov/briefing-room/presidential-actions/2023/10/30/executive-order-on-the-safe-secure-and-trustworthy-development-and-use-of-artificial-intelligence/>
- [6] **Brazil PL 2338** - <https://www.camara.leg.br/proposicoesWeb/fichadetramitacao?idProposicao=2338>